



# IACUC User Manual

## Rodents

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## Revision History

Revision Number	Approval Date	Summary
I	25 November 2020	Creation of the manual
II	12 May 2022	Update for harmonization with ARCL and HSE current documentation
III	19 May 2024	Update the link to a Procedure/Surgery Card or equivalent online Form in the Surgery Section, and methods of identification methods.
IV	17 September 2024	Breading and Weaning update
V	30 October 2025	Addition of accidental animal escape procedure and revision of links

# IACUC User Manual - Rodents

## Topic Index

Animal care and use	ARCL procedures
<a href="#">3R's: Refinement, Replacement, Reduction</a> <a href="#">Analgesia</a> <a href="#">Anesthesia: general technique</a> <a href="#">Anesthesia: Isoflurane</a> <a href="#">Disposal of animals</a> <a href="#">Administration of biological materials/cells</a> <a href="#">Blood collection</a> <a href="#">Breeding and weaning</a> <a href="#">Endpoints</a> <a href="#">Environmental enrichment</a> <a href="#">Euthanasia of animals</a> <a href="#">Expired drugs and medical materials</a> <a href="#">Harm-benefit analysis: 5 Freedoms</a> <a href="#">Irradiation of animals</a> <a href="#">Satellite housing</a> <a href="#">Social housing</a> <a href="#">Non-pharma grade compounds in animals</a> <a href="#">Sick/injured animals: Reporting, Responsibilities, and Vet assistance</a> <a href="#">Administration of substance (methods)</a> <a href="#">Surgery</a> <a href="#">Experimental Design</a> <a href="#">Pain and distress</a> <a href="#">Physical Restraint</a> <a href="#">Food and fluids Restrictions</a> <a href="#">Transportation</a> <a href="#">Accidental Animal Escape</a> <a href="#">Cage identification</a>	Contact ARCL for specific procedures
	<b>Safety Advisories</b> <a href="#">Anesthesia using Isoflurane system</a> <a href="#">Substance administration</a>
	<b>Templates</b> <a href="#">Procedures/Surgery Card</a> <a href="#">Restriction Alert Card</a> <a href="#">Hazardous substances Cage Card</a> <a href="#">"Incinerate Only" label</a> <a href="#">Irradiation Scoring Sheet</a> <a href="#">Body Condition Scoring Sheet</a> <a href="#">General Scoring Sheet</a> <a href="#">Terrestrial Husbandry Log Example</a> <a href="#">Euthanasia Form</a>

## Contents

<b>Topic Index</b>	<b>3</b>
<b>Role and Responsibilities of the IACUC</b>	<b>7</b>
1. <i>IACUC Responsibilities</i>	7
2. <i>IACUC Protocol</i>	7
3. <i>Training</i>	8
4. <i>Non-compliance</i>	8
5. <i>Inspections</i>	8
<b>Reporting and Investigating Non-compliance Concerns Involving Animal Care and Use</b>	<b>9</b>
1. <i>Investigation</i>	9
2. <i>Initial Assessment</i>	9
3. <i>Formal Investigation</i>	9
4. <i>IACUC Determination</i>	10
5. <i>Appeal process</i>	10
6. <i>Continuing non-compliance</i>	10
<b>Experimental Design</b>	<b>11</b>
<b>Harm-Benefit Analysis</b>	<b>13</b>
<b>Implementation of the 3R's – Refinement, Replacement, Reduction</b>	<b>14</b>
1. <i>Refinement</i>	14
2. <i>Replacement</i>	14
3. <i>Reduction</i>	14
<b>Pain and distress</b>	<b>15</b>
<b>Analgesia Use</b>	<b>17</b>
<b>Anesthesia Use</b>	<b>18</b>
1. <i>Supportive care</i>	18
2. <i>Monitor and assessment</i>	18
3. <i>Recovery</i>	19
<b>Isoflurane Use</b>	<b>20</b>
<b>Blood Collection</b>	<b>21</b>
<b>Biological materials/cells administration</b>	<b>23</b>
<b>Expired veterinarian drugs and medical materials</b>	<b>24</b>
<b>Use of non-pharmaceutical grade compounds</b>	<b>25</b>

<b>Substance administration methods</b>	<b>27</b>
<b>Surgery</b>	<b>30</b>
1. <i>Definitions</i>	30
2. <i>Aseptic technique</i>	30
3. <i>Steps of surgery</i>	31
4. <i>Records</i>	31
<b>Euthanasia</b>	<b>32</b>
<b>Disposal of animals</b>	<b>35</b>
<b>Prolonged Physical Restraint</b>	<b>36</b>
1. <i>Refinement considerations for restraint</i>	36
2. <i>Food and water restrictions during restraint</i>	36
<b>Food and fluids Restrictions</b>	<b>37</b>
1. <i>Refinement considerations for food and fluids restrictions</i>	37
2. <i>Observation requirement</i>	37
3. <i>Caloric restriction for husbandry</i>	37
4. <i>Special diet use</i>	37
<b>Transportation</b>	<b>38</b>
1. <i>General requirements</i>	38
2. <i>Transport vehicle requirements</i>	38
<b>Accidental Animal Escape</b>	<b>39</b>
<b>Cage Identification</b>	<b>40</b>
<b>Social Housing</b>	<b>41</b>
<b>Environmental Enrichment</b>	<b>42</b>
1. <i>Standard enrichment</i>	42
2. <i>Single housing</i>	42
3. <i>Enrichment selection</i>	42
<b>Satellite housing in PI laboratories</b>	<b>43</b>
1. <i>Approval of location</i>	43
2. <i>Observation requirements</i>	43
3. <i>Facility requirements</i>	43
4. <i>Attire</i>	43
5. <i>Documentation</i>	43
<b>Genetically Modified Animals</b>	<b>44</b>
1. <i>Breeding</i>	44

2. <i>Adverse phenotypes</i>	44
3. <i>Genotyping</i>	44
4. <i>New stains</i>	44
5. <i>Nomenclature</i>	44
<b>Animal identification</b>	<b>45</b>
<b>Endpoint</b>	<b>49</b>
1. <i>Definitions</i>	49
2. <i>Endpoints criteria</i>	50
3. <i>Adverse clinical conditions</i>	50
4. <i>Body scoring condition (BC) Evaluation</i>	51
5. <i>Documentation</i>	51
<b>Animal irradiation</b>	<b>52</b>
1. <i>Irradiation dosage</i>	52
2. <i>Supportive care</i>	52
3. <i>Monitoring</i>	52
4. <i>Therapeutic interventions</i>	54
5. <i>Humane endpoints</i>	54
<b>Breeding and weaning</b>	<b>55</b>
1. <i>Breeding</i>	55
2. <i>Weaning</i>	55
3. <i>Cage density</i>	55
<b>Reporting of sick/injured animals: Responsibilities &amp; Vet assistance</b>	<b>56</b>
<b>Appendix</b>	<b>57</b>
<b>References</b>	<b>72</b>

# Role and Responsibilities of the IACUC

The Institutional Animal Care and Use Committee (IACUC) is a faculty-led committee charged with ensuring the humane and ethical care and use of vertebrate and invertebrate animals in research. Consistent with the IACUC's purpose, the committee has oversight over all KAUST research activities involving animal subjects occurring both on the academic campus and in the field. Ethical approval for collaborative research conducted at other institutions is the responsibility of the host organization.

KAUST's Institutional Biosafety and Bioethics Committee (IBEC) serves as the registered local committee for all National Committee of Bioethics (NCBE)-regulated activities. The review of animal-related activities is delegated to IACUC. The outcome of such reviews is periodically reported to IBEC.

## 1. IACUC Responsibilities

- Approve standard procedures and forms
- Approve and review activities before the initiation of any research involving animal subjects
- Review modifications to approved research activities involving animal subjects
- Establish training procedures and criteria for research on animal subjects
- Conduct continuous research proposal reviews at intervals appropriate to the degree of risk and as required by the standards established by Saudi Arabia's National Committee of Bioethics (NCBE)
- Review the animal care and use program at least once per year
- Inspect the animal facility and all satellite facilities at least once per year
- Prepare reports on program reviews and facility inspections for submission to Research Operations and Compliance and VPR; such reports include recommendations to ensure the effectiveness of the animal care and use program

## 2. IACUC Protocol

<a href="#"><u>Form &amp; Tools</u></a>
<a href="#"><u>IACUC Protocol Form</u></a>

- No work with live vertebrates and/or invertebrates can be initiated without IACUC approval.
- It is important to be aware that only procedures approved by the IACUC can be performed.
- Approval must be obtained in advance of performing the work. Failure to comply with this requirement can have serious implications for the Principal Investigator, such as loss of the privilege to work with animals.
- Protocol(s) involving the use of biological agents, hazardous chemicals/drugs, or radiation must also be approved by the Institutional Biosafety and Ethics Committee and/or the Institutional Radiation Safety Committee.
- For further information regarding approval processes for IACUC and other relevant committees, please check the [Research Compliance website](#).

### **3. Training**

- Evaluate the experience of the Principal Investigator and his/her team to conduct research involving animal subjects
- Ensure that the Principal Investigator and his/her research team have completed all committee-required training

### **4. Non-compliance**

- Investigate alleged non-compliance involving animal subjects
- Review any concerns raised involving the care and use of animals, including complaints from the public, facility personnel, and users
- Suspend non-compliant research involving animal subjects
- Provide a written statement outlining the reasons for the suspension of non-compliant research addressed to the Principal Investigator, respective Dean, and Research Operations and Compliance

### **5. Inspections**

- The IACUC will monitor animal research activities for compliance with the approved IACUC protocol(s). The goal is to:
- Inform the IACUC of the current status of the project
- Ensure continued compliance with institutional requirements
- Provide for a re-evaluation of the animal activities
- As part of the IACUC monitoring program, the IACUC will conduct inspections at least once a year to KAUST's animal facility (and satellite facilities) using the Guide for the Care and Use of Laboratory Animals as the basis for evaluation.

# Reporting and Investigating Non-compliance Concerns Involving Animal Care and Use

“Safeguarding animal welfare is the responsibility of every individual associated with the Program.” (The Guide, 2011). The IACUC is charged with reviewing concerns involving the care and use of animals and has the authority to suspend research that is not conducted in accordance with the committee’s requirements.

## 1. Investigation

- The Head of Research Compliance will receive all allegations of non-compliance or mistreatment of animals.
- All allegations and findings of non-compliance, whether these reports arise internally (i.e., from faculty, staff, ORRP, the IACUC, or investigator self- reports) or from outside the University (e.g., regulators, anonymous reports) will be investigated.

## 2. Initial Assessment

- When a non-compliance allegation is received, the IACUC will be notified, and an initial assessment will be conducted by the Head of Research Compliance and the IACUC Chair (or Vice-Chair).
- After the initial assessment is concluded, the potential outcomes will be:
  - **Dismissal** where non-compliance allegations have been unsubstantiated.
  - **Referral** to other appropriate University process (i.e., misconduct review)
  - **No further action** required where the issue has already been corrected.
  - **Further investigation** required by an Investigation Team.
- The IACUC will be informed of the initial assessment.

## 3. Formal Investigation

- **Investigation Team**
  - The IACUC Chair and the Head of Research Compliance may impanel an Investigation Team.
  - The team will be led by a Research Compliance staff member and may include members of the IACUC, the Research Safety Team, and/or others with specialized expertise.
- **Procedure**
  - The Investigation Team will consider witness/Principal Investigator (PI) statements, interviews, audits of research records, any other supporting evidences.
  - During the course of the investigation, the PI will have the opportunity to respond to all the allegations raised.
- **Investigation Team Report**
  - The Investigation team will prepare for the IACUC a summary report that includes:
    - Allegations
    - Summary of findings

- Conclusions
- Recommendations and/or corrective actions

#### 4. IACUC Determination

- **Procedure**

- The outcome of the Initial Assessment and, where applicable, the Investigation Team Report, will be reviewed at a convened IACUC meeting. Relevant materials will be distributed to all members in advance of the meeting.
- IACUC will review the information provided and determine corrective action(s) based on the nature of the non-compliance, the extent to which animals were placed at risk, and previous non-compliance history.

- **Outcome**

Potential outcomes may include:

- **Modification(s)** of the IACUC protocol
- **Monitoring** of animal use activity, including audits or assessments of technical abilities
- **Training** for the research staff involved
- **Further investigation** required to make a determination
- **Periodic reporting** from research staff
- **Restriction** on the use of research facilities or the use of data
- **Suspension** of all or part of the IACUC protocol or individual personnel

- **Reporting of outcomes**

- The Principal Investigator will be notified by the IACUC Chair or the RO of the investigation outcome.
- The notification will include an outcome description and any required corrective actions.
- The Dean may be copied at the discretion of the RO.
- In the case of a suspension, a written statement of the reasons for suspension shall be reported promptly to the PI, the Dean, and the RO.

#### 5. Appeal process

- The PI may appeal to the IACUC decision in writing.
- The appeal will be considered during a convened IACUC meeting.
- The PI may be invited to or may request to attend the IACUC meeting.

#### 6. Continuing non-compliance

If the PI does not comply with the required corrective action(s) within the specified time, additional action(s) may be taken; including suspension of IACUC approved activities.

## Experimental Design

Summary of the overall number of animals required for an experiment. It describes an animal's procedures and experiences in each unique study group and may be repeated for every unique experimental design or project aim.

1. **Functional title:** a short descriptive title which captures the aim of the experiment
2. **Summary of the number of animals per experiment:** mathematical description of the expected numbers of animals based on the experimental variables.
  - A. number of animals per group (n) x variable 1 (e.g. drug dose range) x variable 2 (max number of time points) = Total
  - B. number of animals per group (n) (target + non-target species) x variable 1 (max number of locations) x variable 2 (max number of collections per locations) = Total

**NOTE:** If the overall procedures are the same between groups of animals with only minor variation such as the use of a different drug, cell line, dose, or time point, it may be beneficial to use a descriptive category or to treat this difference as a variable rather than a new experiment.
3. **Justification for the animal group size (n):** based on **power analysis**, reference to an existing **publication**, the **quantity** of tissue used, or the need for **pilot data**.
  - The use of pilot projects will result in the approval of a small number of animals to obtain pilot data.
  - For **Field Studies**, justifications may be based on historical or published sample size data.
4. **Pilot studies:** for studies with unknown experimental outcomes.
  - According to The Guide (page 26), “when novel studies are proposed, or information for an alternative endpoint is lacking, the use of pilot studies is an effective method for identifying and defining humane endpoints and reaching consensus among the PI, IACUC, and veterinarian. A system for communication with the IACUC should be in place both during and after such studies.”
  - Pilot studies are used for **new, unknown studies** that propose innovative methods for which data has not yet been published at KAUST or in the rest of the scientific community (i.e., New line of cell line).
  - This may be used to initially assess the effects on the animals involved in the proposed studies and/or to determine whether to go ahead with larger studies based on the results obtained.
  - After the conclusion of the pilot study, the results will be reviewed and assessed by IACUC, and approval for further studies will be appropriately granted.

## 5. Model development studies: for studies with unknown experimental techniques

- Model development studies will be used to evaluate the feasibility and establish the reliability of the model.
- This will may also be used if users need to be trained, or validation between individuals performing the model is required. In this case, please consider adding extra number of animals in the protocol.

## 6. Description of Endpoints: refer to [Endpoint Section](#)

## 7. Pain Classification: reflects the maximum pain and distress the animal could potentially experience during the experiments.

- Pain or distress will be mild or acute (example: IP injection)
- Moderate to severe pain/distress could occur, but will be relieved by therapeutic drugs or euthanasia
- Moderate to severe pain/distress could occur, but relief measures would compromise the scientific aims of this study

## 8. Example:

<b>Functional Title:</b> Practical training	
<b>Summarize the number of animals per experiment:</b> Examples: A. number of animals per group (n) $\times$ variable 1 (e.g. drug dose range) $\times$ variable 2 (max number of time points) = Total B. number of animals per group (n) (target + non-target species) $\times$ variable 1 (max number of locations) $\times$ variable 2 (max number of collections per locations) = Total Practical training: 6 mice (per trainee/instructor per training day) * 6 participants (trainee + instructors) * 10 training days (per year) * 3 years = 1080	
<b>For each group of animals (n), please provide a justification for the animal group size:</b> • Justification should be based on power analysis, reference to an existing publication, the quantity of tissue used, or the need for pilot data. • Use of pilot project will result in the approval of a small number of animals to obtain pilot data. • For Field Studies, justifications may be based on historical or published sample size data.	
3 to 6 animals per trainee/instructor are necessary to conduct the one day practical training on basic procedures described above. The minimum number is 3 but the number can increase if the trainee has none or limited experience with the procedures. A maximum of 4 participants and 2 instructors per training session, leading to a maximum of 36 animals/1 day of training session and an expected 10 training sessions per year (360 animals/year).	
<b>Chronological summary of the procedures an individual animal will undergo during this experiment.</b> • This could be in the form of a flowchart • reference the procedure name from question 2 and part II – Common Procedure • Do not repeat specific information such as dosing, or detailed procedural description in this question Practical training include one or more of the following procedure: - Main mice restraint techniques - Intra-peritoneal / intramuscular / subcutaneous / intravenous injections: how to calculate a dose / administration volumes / the maximum volumes/dose to be administered - Blood collection techniques, including facial and submandibular vein bleeding, cardiac puncture. - Anesthesia - Euthanasia  All animals are euthanized at the end of the training and are not re-used for training purposes.	
<b>Describe the Humane endpoints:</b> (point at which pain or distress in an experimental animal is prevented, terminated or relieved) <b>Describe the Scientific endpoints:</b> (point at which the scientific aims and objectives have been reached)	All animals are euthanized at the end of the training session or earlier if sign of major pain and/or distress are recognized. N.A.
<b>Pain/distress classification</b>	Moderate to severe pain/distress could occur, but will be relieved by therapeutic drugs or euthanasia.
<b>When moderate to severe pain/distress cannot be relieved, provide a scientific justification.</b> (State methods or means used to determine that pain and distress relief would interfere with research results.)	Those training are needed for ARCL users.

## Harm-Benefit Analysis

IACUC will weigh the potential adverse effects of an animal proposal study against the potential benefits that are likely to accrue as a result of the research.

**Harm-benefit** will be evaluated in the context of the five freedoms:

- **Freedom from hunger or thirst:** by ready access to fresh water and a diet to maintain full health and vigor.
- **Freedom from discomfort:** by providing an appropriate environment including shelter and a comfortable resting area.
- **Freedom from pain, injury or disease:** by prevention or rapid diagnosis and treatment.
- **Freedom to express (most) normal behavior:** by providing sufficient space, proper facilities and the company of the animal's own kind.
- **Freedom from fear and distress:** by ensuring conditions and treatment, which avoid mental suffering.

## **Implementation of the 3R's – Refinement, Replacement, Reduction**

“In 1959, W.M.S. Russell and R.L. Burch published a practical strategy of replacement, refinement, and reduction—referred to as the Three Rs—for researchers to apply when considering experimental design in laboratory animal research (Russell and Burch 1959). Over the years, the Three Rs have become an internationally accepted approach for researchers to apply when deciding to use animals in research and in designing humane animal research studies.” (The Guide, 2011)

### **1. Refinement**

Modifications of husbandry or experimental procedures to enhance animal well-being and minimize pain and distress. i.e., early endpoints (body scoring), pain and management relief methods, telemetry, use of minimally invasive techniques.

### **2. Replacement**

Full or partial replacement with in vitro models, simulations, or less-sentient species, i.e., use of inanimate systems (as computer programs), test on single-cell/tissue type, use of animal lower on the phylogenetic scale in part of the project.

### **3. Reduction**

Steps taken to reduce the number of animals i.e., precise experimental design, use of tier testing (sequential design), serial imaging, refined statistical methods.

## Pain and distress

Management of pain and distress levels is an ethical and scientific imperative. Pain prevention or alleviation associated with procedural and surgical protocols is one of the main components of veterinary medical care in order to reduce or eliminate an unacceptable level of stress and distress in animals.

### 1. Pain

An unpleasant sensory and emotional experience associated with actual, or potential tissue damage, or described in terms of such damage. Clinical signs of pain are different depending on the animal species (i.e., hunched posture, reduced activity); see the table below.

### 2. Distress

“An aversive state in which an animal fails to cope or adjust to various stressors with which it is presented.” (The Guide, 2011). Signs of distress is not always clearly observable, so during invasive periods of experimentation, it is mandatory to implement humane experimental endpoints for animals

### 3. Common clinical and behavioral signs of pain or distress in rodents:

Clinical sign	Definition	Clinical description	Detailed reporting	Background information
<b>Kyphosis (Hunched posture)</b>	Abnormally increased convexity in the curvature of the thoracic and lumbar spine.	Hunched back. An animal in kyphotic posture keeps its head down and limbs underneath the body.	Indicate the pattern of the condition (episodic or continuous) and the chronicity.	It is a sign of a painful and stressful condition. Animals may appear apathetic or hyperkinetic and may vocalize.
<b>Poor coat condition</b>	Ungroomed hair coat.	Normally, animals will groom by licking, wiping, scratching, or allogrooming. Lack of grooming will result in a fur in disarray and lacking natural gloss. It may also look greasy.	Indicate location (or generalized pattern) and chronicity of the condition.	Poor grooming may have many reasons: cold (piloerection), pain, illness, animal too fat to groom, aged animal.
<b>Hyperkinesia (Increase movement)</b>	Abnormally increased motor function or activity	Animal is constantly moving around in its cage, pen, or other enclosure, in excess of normal level of activity.	Indicate type and frequency of movement and any repetitive pattern or specific avoidance behavior (e.g., away from another more dominant animal).	Sign of boredom, anxiety, or pain. The sign itself is not pathological, but the cause may be.

Clinical sign	Definition	Clinical description	Detailed reporting	Background information
<b>Hypokinesia (Reduced movement)</b>	Abnormally diminished motor function or activity.	Reduction of spontaneous movements, but conservation of muscular tone and alertness.	Indicate type and frequency of inactivity and any specific pattern, e.g., the place when the animal spends inactive time.	Inactivity may be a sign of pain, disease, or boredom. Typically, older animals are less active than young ones, and singly housed animals tend to be less active.
<b>Dyskinesia (Uncontrolled movement)</b>	Impairment of the power of voluntary movement.	The animal shows an intention to move but doesn't succeed properly. Dyskinesia is usually a sign of pain or generalized weakness.	Indicate type and frequency of hampered movement and any specific pattern, e.g., the place where the animal spends inactive time.	Dyskinesia is usually a sign of pain or generalized weakness.
<b>Aggression aggressive</b>	An angry and destructive behavior against other animals (e.g., cage mates) or the handler.	It may be manifested by overt attacking or by more passive attitudes of hostility and obstructionism. Antagonistic behavior may also occur in self-defense.	Indicate against what/whom is the animal aggressive, and under what circumstances. The capacity for aggressive behavior is physiological for the ranking of animals in a group or for the defense against danger.	It may be a sign of fear, related to neurological conditions (e.g., in rabies) or occur because of a painful condition
<b>Vocalization</b>	Emission of sounds from the larynx.	Animals will vocalize in social interaction or in response to other stimuli.	Excess vocalization must be reported if associated with a pathological condition.	Vocalization is a behavioral feature that differs between species. Abnormal vocalization may express pain.
<b>Self-mutilation</b>	A self-inflicted wound.	Animals may over lick, bite and injure a localized painful area of their body. This happens especially with limbs and tail.	Must be differentiated from erosion, ulcer, or fight wounds.	Self-mutilation can be pain related.
<b>Time to integrate into the nest</b>	The ability to rebuild the nest and to populate it.	Healthy animals will quickly restore the nesting material after cage change.	Pain severity can be evaluated according to nesting activity: absent (severe pain) or delayed (moderate to severe).	Pain perception negatively impacts on nest-building activity.
<b>Facial Expressions</b>	Changes in a number of facial expressions.	Animals in pain can display the following: orbital tightening, nose bulge, chick bulge, ears pulled back, whiskers change.	Abnormal facial expressions should be promptly reported. Pain severity can be scored based on numbers/severity and frequency of findings.	Check grimace scale posters available within the facility for appropriate scoring.

Adapted from GLOSSARY OF CLINICAL SIGNS IN LABORATORY ANIMALS - The reporting of clinical signs in laboratory animals, FELASA Working Group Report JM Fentener van Vlissingen, M Borrens, A Girod, P Lelovas, F Morrison and Y Saavedra Torres, Lab Anim OnlineFirst, published on May 8, 2015, doi:10.1177/0023677215584249 Issue 1/2015

## Analgesia Use

It is the ethical and legal obligation of all personnel involved with the use of animals in research to reduce or eliminate pain and distress in research animals whenever such actions do not interfere with the research objectives.

Safety Considerations	Forms & Tools
<ul style="list-style-type: none"><li>• Avoid spills and exposures when handling hazardous substances.</li><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Substance Administration</a> and <a href="#">Sharps Safety</a> resources for additional details.</li></ul>	<a href="#">Procedure/Surgery Card or equivalent online Form</a>

1. **Pain assessment criteria:** clear criteria should be defined in the IACUC protocol.
2. **Selection of analgesia** should be based on the following factors: species, age, strain or stock of the animal, type, and degree of pain, potential impact on the study results, the nature and length of the surgical or pain-inducing procedure, safety of the agent.

Analgesic name	Dose	Route	Frequency
Carprofen	5-10 mg/kg	Orally or Intramuscularly	Once a day
Buprenorphine	0.05 to 0.10 mg/kg	Subcutaneously	Twice/three times a day
Meloxicam	2-5 mg/kg	Orally	Twice a day

3. **Pre-emptive analgesics:** It is important to provide analgesics prior to painful procedures (i.e., surgery) in order to avoid the “wind-up effect”. The wind-up effect is a phenomenon in which central pain sensitization results in a pain response to otherwise non-painful stimuli (allodynia; Joshi and Ogunnaike 2005).
4. **Post-operative/procedural analgesics:** Animals must be observed at least once daily following a surgery or pain-producing procedure.
5. **Recordkeeping:** Observations and dosing will be recorded in the [Procedure/Surgery Card or equivalent online Form](#), and be available for inspection.

# Anesthesia Use

It is the ethical and legal obligation of all personnel involved with the use of animals in research to reduce or eliminate pain and distress in research animals whenever such actions do not interfere with the research objectives.

<b>Safety Considerations</b>	<b>Forms &amp; Tools</b>
<ul style="list-style-type: none"><li>• Avoid spills and exposures when handling hazardous substances.</li><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Substance Administration</a> and <a href="#">Sharps Safety</a> resources for additional details.</li></ul>	<a href="#"><b>Procedure/Surgery Card or equivalent online Form</b></a>

## 1. Supportive care

- Ophthalmic ointment should be applied to both eyes to prevent desiccation from any anesthesia longer than 5 minutes. Re-apply regularly in case of prolonged surgery.
- Maintain normal body temperature using a warm circulating water blanket or thermal pads.
- Provide warm fluids (e.g., IV, IP, SQ) to animals during prolonged anesthesia to maintain adequate hydration.

## 2. Monitor and assessment

- Monitor respiratory rate and effort, the color of mucous membranes, and reflected eye color (in albino animals) at regular intervals (no longer than 15-minute intervals).
- Assess the level of anesthesia by pedal reflex (firm toe pinch) and adjust anesthetic delivery as appropriate to maintain the surgical plane.
- Depth of anesthesia is considered adequate when the animal shows:
  - Decreased respiratory frequency;
  - Deep breathing;
  - Absence of withdrawal reflex evoked by pinching toenails.
- The adequate level of anesthesia must be maintained during the whole procedure.

### 3. Recovery

- Place rodent in a warm, clean, dry, quiet environment away from other animals.
- Cover or replace bedding material with toweling material (Bedding can stick to eyes or be inhaled while animals are recovering from anesthesia).
- Provide warmth during recovery.
- Warm sterile saline can be administered to replace body fluids lost during surgery.
- Post-anesthesia monitoring should be performed until maintaining an upright posture and walking normally before return to the animal housing room.

## Isoflurane Use

Isoflurane provides rapid anesthesia and recovery for surgeries and techniques requiring complete immobilization of the animal.

Safety Considerations	Forms & Tools
<ul style="list-style-type: none"><li>Isoflurane is an inhalation hazard and must be used with adequate ventilation and/or scavenging equipment. You should not smell isoflurane while using it. If you do, stop working and contact ARCL staff immediately.</li><li>Weigh the charcoal filter canister prior to use, and disposed according to manufacturer use instructions</li><li>Refer to <a href="#">Anesthesia Using Isoflurane System</a> for additional details.</li></ul>	<p><b>Isoflurane vaporizer operation:</b> Contact ARCL Staff</p>

1. **Administration of Isoflurane:** Use a precision vaporizer and adjust the dosing level accordingly to the table below.

Anesthetic Agent	Induction	Maintenance
Isoflurane	3-5%	1-3%
O2 Flow	2-3L/min	0.5-1L/min

2. **Induction Chamber:**

- Close the induction chamber and ensure the lid is tightly closed and latched when not moving or replacing mice.
- Flush the induction chamber with oxygen prior to opening the chamber to transfer animals.

3. **Nose Cone:**

- Ensure a tight seal around the animal's nose cone and mouth.
- Allow time for the anesthesia gas to reach the manifold nose cones prior to removing the mice from the induction chamber. This can take from 2 minutes.

## Blood Collection

<u>Safety Considerations</u>				
<ul style="list-style-type: none"><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Sharps Safety</a> resources for additional details.</li><li>• If administering anesthesia, refer to Safety Considerations of the <a href="#">Anesthesia Use</a> and <a href="#">Isoflurane Use</a> subchapters.</li></ul>				

### 1. Approximate blood sample volumes for a range of body weights in mice

Body weight (g)	Circulating Blood Volume (CBV)			
	CBV (mL)	1% CBV every 24hr <sup>†</sup>	7.5% CBV every 7 days <sup>†</sup>	10% CBV every 2 – 4 wks <sup>†</sup>
20	1.10 – 1.40	11 – 14 µL	90 – 105 µL	110 – 140 µL
25	1.37 – 1.75	14 – 18 µL	102 – 131 µL	140 – 180 µL
30	1.65 – 2.10	17 – 21 µL	124 – 158 µL	170 – 210 µL
35	1.93 – 2.45	19 – 25 µL	145 – 184 µL	190 – 250 µL
40	2.20 – 2.80	22 – 28 µL	165 – 210 µL	220 – 280 µL

<sup>†</sup> Maximum sample volume for that sampling frequency

- Mouse total blood volume = 0.058 ml per g (approx.)
- For *in vivo* bleeds <10 % of an animal’s total blood volume can be taken in a single collection.
- Up to 15% of an animal’s total blood volume may be collected over a 4 week period.
- For the maximum sample volume in survival procedures, use the table below

Adapted from NIH Guidelines for Survival Bleeding of Mice and Rats

## 2. Non-terminal blood collection methods

	Lateral tail vein	Submandibular vein puncture	Retro-orbital puncture
Anesthesia	Not required	Not required	Required
Procedure	<ul style="list-style-type: none"> <li>- Warm the animal for up to 2 to 3 minutes to allow vasodilation</li> <li>- Restrain the animal in a Plexiglas tube</li> <li>- Pinch the lateral tail vein using a dedicated lancet or needle tip as close as possible to the tail tip</li> <li>- Collect blood and apply pressure to allow hemostasis</li> <li>- Recommended methods for small volume serial sampling.</li> </ul>	<ul style="list-style-type: none"> <li>- Animal is restrained firmly</li> <li>- Submandibular vein punctured using a dedicated lancet</li> <li>- After blood collection: gentle pressure with gauze until hemostasis is achieved</li> <li>- Place the animal inside the cage and check for recovery.</li> <li>- Recommended intervals between samples collection at least 2 weeks</li> </ul>	<ul style="list-style-type: none"> <li>- Stabilize the head of the animal between the forefinger and thumb of one hand</li> <li>- Insert the tip of a microhematocrit tube or Pasteur pipette into the medial canthus of the eye passing to the side of the globe of the eye</li> <li>- Using a rotating motion, insert the tube into the retro-orbital sinus and collect the blood</li> <li>- Recommended intervals between sample collection is 1 month.</li> </ul>
Total collectible volume	Up to 0.1 ml	Up to 0.2 ml	Up to 0.2 ml

## 3. Terminal blood collection methods

	Retro-orbital puncture	Cardiac puncture
Anesthesia	Required	Required
Procedure	<ul style="list-style-type: none"> <li>- Stabilize the head of the animal between the forefinger and thumb of one hand</li> <li>- Insert the tip of a microhematocrit tube or Pasteur pipette into the medial canthus of the eye passing to the side of the globe of the eye</li> <li>- Using a rotating motion, insert the tube into the retro-orbital sinus and collect the blood</li> <li>- Euthanize the animal</li> </ul>	<ul style="list-style-type: none"> <li>- Place the animal in dorsal recumbency</li> <li>- Insert a 22-25 ga needle at a 30-degree angle under the xiphoid process or access thoracic cavity from the lateral side, inserting the needle between the ribs.</li> <li>- Apply light pressure on the plunger of the syringe until blood collected</li> <li>- Euthanize the animal.</li> </ul>
Total collectible volume	Up to 1 ml	Up to 1 ml

## Biological materials/cells administration

Tissues and biological materials may be infected with a variety of agents that can affect the health of humans or animals and may act as a confounding variable on research results. Murine viruses can be transmitted by cell culture products and additives or by the cells themselves.

<b>Safety Considerations</b>
<ul style="list-style-type: none"><li>• The principal investigator is responsible for conducting an appropriate risk assessment and obtaining <a href="#">IBEC approval</a> (if applicable) to ensure that the personnel is informed and trained for handling any biohazard materials.</li><li>• Biohazardous samples should NOT be brought into ARCL without approval from the ARCL Manager.</li><li>• Administration of human biological materials/cells must be conducted under the ARCL Guidelines for Handling Samples Requiring BSL-2 Containment .</li><li>• Human cell lines and unfixed tissues may carry blood borne pathogens.</li><li>• If handling such materials, enrol into <a href="#">Bloodborne Pathogens Safety Program</a> and follow its requirements.</li><li>• Laboratory waste produced in ARCL is segregated depending on the waste type (biohazard, bio-cytotoxic, sharps, etc.). Refer to the ARCL Waste Disposal Standard Operating Procedure for additional details.</li></ul>

### 1. Biological materials that require testing:

- Any that have originated from rodents or which have been exposed to rodents directly (in vivo passage) or indirectly (e.g., via tissue culture media additives).
- The Principal Investigator is responsible for the testing which can be performed by:
  - Charles River Laboratories (CRL) for screening according to the [Rodent Infectious Panel Agent – Mouse Essential Panel](#)
  - KAUST Bioscience Core Lab (BCL).

### 2. Biological materials exempted from testing:

- Cells harvested from animals housed within the ARCL do not require testing.
- Veterinary staff and ARCL Manager will review and approved grounds for exemptions.

## Expired veterinarian drugs and medical materials

The use of expired pharmaceuticals, biologics, and supplies is not consistent with an acceptable veterinary practice or adequate veterinary care and may negatively impact animal welfare or compromise the validity of the study.

- Expired drugs and medical materials must either discarded or segregated physically from non-expired drugs/medical materials and labeled as “EXPIRED”
- Expiration date should be verified prior to use
- Expired drugs and medical materials must not be used in live animals without explicit IACUC approval.

## Use of non-pharmaceutical grade compounds

Pharmaceutical-grade chemicals and other substances must be used whenever possible to ensure efficiency and limit toxic and unwanted side effects, which may compromise the research outcomes or animal welfare.

1. **Pharmaceutical grade compounds** are any active or inactive drug, biologic or reagent compound that has been:

- Manufactured under Good Manufacturing Practices (GMP) and recognized by the Saudi Food and Drug Administration (SFDA) or international equivalent
- Chemical purity standard has been written or established by a recognized compendia (e.g., a national Pharmacopeia or National Formulary)

2. **Non-Pharmaceutical grade compounds** are all that are not recognized as pharmaceutical grade compound, and could be:

- Routine care drugs (RCD): for the clinical treatment of animals and to prevent or reduce/eliminate animal pain or distress (i.e., anesthetics, analgesics, antibiotics)
- Experimental compounds (EC): to accomplish the scientific aims of the study (i.e., drugs, chemicals, cells)

The use of non-pharmaceutical-grade compounds must be based on scientific necessity and must be scientifically justified in the approved IACUC protocol prior to the use of the drug.

Examples of appropriate justifications to use non-pharmaceutical compounds include:

- The pharmaceutical-grade compound is not available in the appropriate concentration or formulation, or the appropriate vehicle control is unavailable
- The compound is required to generate data that are part of an ongoing study or that are comparable to previous work
- Cost savings alone is not an adequate justification for using non-pharmaceutical compounds in animal

3. **Preparation of non-pharmaceutical grade compounds:**

- Chemical properties of the compound must be appropriate for the study and the route of administration (e.g., the purity, grade, stability in and out of solution, solution vehicle properties, pH, osmolality, and compatibility of the solvent and other components of final preparation)
- Where possible, the dilutant should be pharmaceutical grade

**4. Dilution of pharmaceutical-grade compounds:**

- Sterile dilutions or mixtures of drugs may result in a shorter effective expiration date than the expiration date of the individual components due to the risk of contamination and dilution of preservatives
- The smallest amount of agent suspension/dilution/mixture should be used to minimize storage time prior to administration
- Expiration date from the date of preparation should follow the manufacturer's instructions or the earliest expiration date for any single component. However, dilutions or mixtures of drugs should be stored for no longer than 6 weeks from the date of preparation

**5. Storage in secondary containers:**

- Consideration should be given to the administration and storage of formulations to ensure drug stability and quality (i.e., to prevent inadvertent co-administration of infectious agents or contaminants)
- Labeling: name of the drug(s) contained; concentration of the drug; date of expiration
- New, sterile containers with a septum must be used (e.g., red-topped blood tubes)

## Substance administration methods

Methods of administration must be appropriate for the type of substances and study aims. Considerations should be given to volume of the substances, Ph., and desired onset of effect.

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>• Cages containing animals treated with hazardous drugs should be labeled with a <a href="#">yellow cage card template</a>.</li><li>• Avoid spills and exposures when handling hazardous substances.</li><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Substance Administration</a> and <a href="#">Sharps Safety</a> resources for additional details.</li></ul>	<a href="#">Yellow cage card</a>

### 1. Considerations for maintaining drug sterility and stability:

- The rubber injection port/cap should be swabbed with alcohol prior to insertion of the needle
- Use new sterile needles for each entry into a sterile container
- Examine multiple-dose injection vials/tubes prior to use for evidence of physical or chemical contamination and discard any substance meeting any of the following criteria:
  - Particulate matter
  - Precipitation of solids
  - Turbid or discolored appearance
  - Mislabeled or unlabeled container
  - Damage to the rubber stopper compromising integrity

### 2. Substances administrations methods:

<u>Procedure name</u>	<u>Procedure</u>	<u>Administration route</u> <u>(Max Volume in mL/kg of body weight)</u>	<u>Needle size (gauge)</u>
<b>Medicated food or water</b>	<ul style="list-style-type: none"> <li>- When additives are placed in the drinking water or in the food it is responsibility of the investigator to monitor the animal(s) and assure that adequate fluid and food intake occurs.</li> <li>- Cages with medicated water or diet must be properly identified.</li> </ul>	N/A	N/A
<b>Oral gavage</b>	<ul style="list-style-type: none"> <li>- Restrain the mouse using the scruff method;</li> <li>- Head and body vertically aligned with the esophagus;</li> <li>- Insert feeding needle behind the incisors towards the back of the throat and slightly hyperextend the mouse's head back;</li> <li>- Insert into the esophagus allowing the mouse to swallow the feeding needle down without forcing;</li> <li>- Inject substance slowly;</li> <li>- Pull the needle straight out;</li> <li>- Observe the animal for any adverse signs (cyanosis, struggling/gasping, fluid coming out the nose may occur in case of tracheal dosing or esophagus rupture).</li> </ul>	10	<p>18-24  <u>Needle length</u> (cm):  2.5-7.5  <u>Ball tip diameter</u> (mm): 1.25-2.25</p> <p>-Appropriate size determined by measuring the needle to the last rib of the mouse to the incisors.</p>
<b>Intraperitoneal (IP) Injection</b>	<ul style="list-style-type: none"> <li>- Restrain mouse using one-handed restraint method;</li> <li>- Expose ventral (abdomen) side of the mouse;</li> <li>- Tilt head slightly down;</li> <li>- Locate the animal's midline and mentally divide the abdomen into quadrants using the stifles as a reference point for the transverse plane;</li> <li>- Insert the needle at about a 30° degree angle towards the head, into either the lower right or left quadrant and aspirate the syringe;</li> <li>- Inject substance and remove the needle.</li> </ul>	10	25-29
<b>Subcutaneous (SC) Injection</b>	<ul style="list-style-type: none"> <li>- Restrain the mouse using the scruff method. Make a tent of the skin at the scruff; insert the needle at a 45° angle between the fingers (careful not to push the needle through the other side);</li> <li>- Alternatively, perform the injection in the lateral side of the thorax, and insert the needle parallel to the ribs and gently lift the need to check proper positioning;</li> <li>- Aspirate to check the needle is properly positioned and inject substance: a small bleb in the subcutaneous space should be noted;</li> <li>- Remove the needle and apply gentle pressure to the site to prevent the backflow of the fluids.</li> </ul>	5	25
<b>Intramuscular (IM) Injection</b>	<ul style="list-style-type: none"> <li>- Restrain the mouse using a scruff restraint or restraining device, position the mouse so that its body is parallel to the table in a lateral position or exteriorize one hind leg from the restrainer;</li> <li>- Aim the needle towards the cranial thigh of the quadriceps;</li> <li>- Insert the needle into the cranial portion of the muscle at a 45° degree angle;</li> <li>- Aspirate and inject;</li> <li>- Remove the needle and apply a gentle pressure to the muscle to aid the distribution of the substance.</li> </ul>	0.05	27-29

<u>Procedure name</u>	<u>Procedure</u>	<u>Administration route</u> <u>(Max Volume in mL/kg of body weight)</u>	<u>Needle size (gauge)</u>
<b>Intradermal (ID) Injection</b>	<ul style="list-style-type: none"> <li>- <b>Anesthesia required</b></li> <li>- Shave mouse;</li> <li>- Pinch and lift the skin gently from the back;</li> <li>- Insert the needle at a slight angle bevel up, just under the epithelium;</li> <li>- Slowly inject substance (a small bleb will appear at the injection site);</li> <li>- Remove the needle and apply gentle pressure to the site to ensure the fluid does not leak back out;</li> <li>- Place the animal in the cage and check for recovery after anesthesia.</li> </ul>	0.1	25-27
<b>Intravenous (IV) Injection</b>	<ul style="list-style-type: none"> <li>- Restrain mouse using the mechanical devices available at ARCL choosing the appropriate size and type;</li> <li>- Place the tail under a lamp or heating pad (if the mouse has not been previously warmed under a lamp before restraining);</li> <li>- Holding the tail, rotate it <math>\frac{1}{4}</math> degree, placing the lateral vein at the top of the tail;</li> <li>- Start distally (about middle or lower tail) and approach the tail at a <math>30^\circ</math> angle;</li> <li>- Inject slowly, if successful the vein will blanch, and fluid will flow easily;</li> <li>- Remove the needle and apply direct pressure to the tail after the injection for hemostasis.</li> </ul>	5	29-25
<b>Intrafemural (IF) Injection</b>	<ul style="list-style-type: none"> <li>- <b>Anesthesia required</b></li> <li>- Apply ophthalmic ointment to both eyes to prevent desiccation for any anesthesia longer than 5 minutes;</li> <li>- Proceed only when the animal is properly anesthetized and shows no reaction to painful stimuli (toe-nail pinching);</li> <li>- Shave the leg area around the patella (see drawing below) on the site that will be injected (left or right) and disinfect the area with a 70% Ethanol solution (or equivalent disinfectant solution);</li> <li>- Hold with the tip of the thumb and index finger the patella of the leg to be injected in a stable position;</li> <li>- Approach the center of the patella keeping the needle perpendicular to the femur;</li> <li>- Insert a 28 ga needle;</li> <li>- When on the patella, apply some light pressure and rotation of the needle. If successful, you will feel resistance when moving the needle;</li> <li>- Check for the needle to be in the proper position within the femur cavity by moving the needle in any direction: if entry is successful, the operator will not feel the tip of the needle on fingers;</li> <li>- Inject slowly; the volume should be less than 50 microliters;</li> <li>- Gently remove the needle and let the animal recover from the anesthesia.</li> </ul>	0.05	28

# Surgery

“Successful surgical outcomes require appropriate attention to pre-surgical planning, personnel training, anesthesia, aseptic and surgical technique, assessment of animal well-being, appropriate use of analgesics, and animal physiologic status during all phases of a protocol involving surgery and postoperative care.” (The Guide, 2011)

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>For administering anesthetics and analgesics, refer to Safety Considerations of the <a href="#">Anesthesia Use Section</a>.</li><li>Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>Refer to <a href="#">Substance Administration</a> and <a href="#">Sharps Safety</a> resources for additional details.</li></ul>	<a href="#">Procedure/Surgery Card</a> or <a href="#">equivalent online Form</a>

## 1. Definitions

- Minor surgery:** does not penetrate a body cavity or cause permanent physical impairment to the animal.
- Major surgery:** involves penetrating a body cavity, causing permanent physical impairment or tissue dissection/transection (e.g., laparotomy, thoracotomy, joint replacement, and limb amputation). Depending on the level of trauma and side effects associated, there are types of laparoscopic surgery considered as minor (e.g., avian sexing and oocyte collection) rather than major surgery (e.g., hepatic lobectomy and cholecystectomy).
- Recovery surgery:** the animal is expected to awaken from anesthesia, including those in which the expected survival time is minimum.
- Non-recovery (non-survival) surgery:** the animal is euthanized while still under anesthesia.
- Multiple surgery:** More than one recovery surgery (major or minor) on a single animal.

## 2. Aseptic technique

Aseptic techniques are used to reduce the risk of microbial infection as part of a surgical process. Requirements are listed below:

Surgery type	Recovery	Non-Recovery
Surgeon attire	Sterile gloves, face mask, and clean lab coat/gown	Non-sterile gloves and lab-appropriate attire
Surgical site	Hair removal followed by alternate scrubs of iodophor/chlorhexidine and 70% alcohol repeated 3 times. Surgical draping is recommended	Hair removal only
Instruments	Sterile One sterile surgical pack may be used for no more than 5 batch surgeries without re-sterilization provided they are maintained in a sterile field.	Clean

### 3. Steps of surgery

#### Pre-operative

- Surgery must be conducted in a clean, uncluttered, minimal-traffic portion of the lab dedicated for surgery.
- Pre-emptive analgesics must be provided (unless scientifically justified), and documented on the [Procedure/Surgery Card or equivalent online Form](#).
- Follow aseptic technique requirements from the table above.

#### Intra-operative

- The animal must remain in a surgical plane of anesthesia throughout the procedure.
- Monitor the animal's vital signs (i.e., increase respiratory rate) throughout surgery.
- Close surgical wounds using appropriate techniques and materials.

#### Post-operative

- Post-operative monitoring is the responsibility of the Principal Investigator and his/her staff.
- After surgery, move the animal to a warm, dry area and monitor it during recovery.
- Post-operative analgesics must be provided (unless scientifically justified), and documented on the [Procedure/Surgery Card or equivalent online Form](#).
- Post-operative monitoring should be performed until the animal is able to stand and walk.
- Animals must be evaluated post-operatively, and any abnormalities must be promptly reported to the Animal facility/Vet staff.
- The following frequency of post-operative observations are recommended:
  - Facility staff will check animals for general health once per day.
  - The Principal Investigator and his/her staff should observe the surgical site for signs of surgical complications daily for the first **5 days** (including weekends and holidays).
- Surgical sutures and/or staples are to be removed **14 days** after surgery unless approved by the IACUC.

### 4. Records

Records for all surgeries must be documented on the [Procedure/Surgery Card or equivalent online Form](#).

## Euthanasia

Act of inducing humane death in an animal by a method that induces rapid loss of consciousness and death with a minimum of pain, discomfort, or distress.

Safety Considerations	Forms & Tools
<ul style="list-style-type: none"><li>• If administering anesthesia prior to euthanasia, refer to Safety Considerations of the <a href="#">Anaesthesia Use Section</a> and <a href="#">Isoflurane Use Section</a>.</li><li>• While abnormal release of CO<sub>2</sub> may present an asphyxiation hazard, its risk assessment in ARCL concluded that the occupational exposure limits are much higher than any plausible scenarios.</li><li>• Decapitation devices present increased sharps injury risk. Individuals responsible for the use of method shall be trained. Use of a decapitator is encouraged when using a guillotine.</li><li>• Surgical scissors and guillotine must be regularly maintained and checked to ensure sharpness and proper function before each use.</li></ul>	<a href="#">Euthanasia form</a>

1. **Training:** euthanasia and confirmation of death must be performed by qualified personnel only.
2. **Special Considerations** when euthanizing animals.
  - Euthanasia should not be performed when other animals are present in the area, unless in the case of euthanasia of a badly injured animal where additional suffering may be caused by moving the animal.
  - Euthanasia techniques should result in rapid loss of consciousness followed by cardiac or respiratory arrest and the ultimate loss of brain function.
3. **Guillotine or scissors use:** check to ensure sharpness and proper function before each use.
  - A maintenance records must be maintained for guillotines and scissors.
  - Maintenance should be tailored on the frequency of use.

<u>Physical methods (non-reversible)</u>	
<u>Procedure name</u>	<u>Procedure</u>
<b>Cervical dislocation without anesthesia</b>  Animals over 10 days age	<ul style="list-style-type: none"> <li>Place the mouse on a steel grid that it can grip securely and hold the animal with one hand at the base of the tail.</li> <li>Using the other hand, press a pen longitudinally at the base of the skull. Alternatively, the thumb and index finger can be placed on either side of the neck at the base of the skull.</li> <li>Slightly elevate the hindquarters (no more than 20-30 degrees) by lifting the tail base.</li> <li>In a quick motion, firmly pull back hindquarters by the tail away from the head and neck while simultaneously pressing forward and down with the pen behind the base of the skull. This motion separates the cervical vertebrae from the skull and causes a rapid loss of consciousness.</li> <li>Confirm cervical vertebrae separation by palpating the neck and death by cessation of vital signs.</li> </ul>
<b>Cervical dislocation under anesthesia</b>  Animals over 10 days age	<ul style="list-style-type: none"> <li>Anesthetize the animal (i.e., by inhalation of carbon dioxide gas or by injectable or inhalational anesthetics)</li> <li>Hold the animal with one hand at the base of the tail.</li> <li>Using the other hand, press a pen longitudinally at the base of the skull. Alternatively, the thumb and index finger can be placed on either side of the neck at the base of the skull.</li> <li>Slightly elevate the hindquarters (no more than 20-30 degrees) by lifting the tail base.</li> <li>In a quick motion, firmly pull back hindquarters by the tail away from the head and neck while simultaneously pressing forward and down with the pen behind the base of the skull. This motion separates the cervical vertebrae from the skull and causes a rapid loss of consciousness.</li> <li>Confirm cervical vertebrae separation by palpating the neck and death by cessation of vital signs.</li> </ul>
<b>Decapitation without anesthesia (Guillotine)</b>  Animals over 10 days age	<ul style="list-style-type: none"> <li>Use an appropriately sized guillotine.</li> <li>Use of a DecapiCone or other similar restraint devices is recommended.</li> <li>Make sure the animal is calm. When a plastic restrainer is used, gently allow the animal to enter the restrainer and position it properly. Hold the animal securely, and place it on the stage of the guillotine. Advance the head into the guillotine opening.</li> <li>Once checked for correct positioning and absence of any obstruction to the blades, quickly and smoothly depress the guillotine lever, removing the head from the animal with a single clean movement. Check that the animal's head has been cut entirely off.</li> </ul>
<b>Decapitation without anesthesia (Scissors)</b>  Neonatal: under 10 days age	<ul style="list-style-type: none"> <li>Decapitation using scissors is acceptable, but the use of guillotine is also possible. (In case of guillotine use, please follow directions above as for mice older than 10 days old).</li> <li>Hold the neonate with one hand using the thumb and forefingers positioned in the middle of the animal's body.</li> <li>Position the mouse's neck beneath the scissors' and separate the head from the body at the cervical level in one motion by firmly closing the scissors.</li> </ul>
<b>Decapitation under anesthesia (Guillotine)</b>  Animals over 10 days age	<ul style="list-style-type: none"> <li>Anesthetize the animal (i.e., by inhalation of carbon dioxide gas or by injectable or inhalational anesthetics)</li> <li>Use an appropriately sized guillotine.</li> <li>Use of a DecapiCone or other similar restraint devices is recommended.</li> <li>Make sure the animal is calm. When a plastic restrainer is used, gently allow the animal to enter the restrainer and position it properly. Hold the animal securely, and place it on the stage of the guillotine. Advance the head into the guillotine opening.</li> <li>Once checked for correct positioning and absence of any obstruction to the blades, quickly and smoothly depress the guillotine lever, removing the head from the animal with a single clean movement. Check that the animal's head has been cut entirely off.</li> </ul>
<b>Perfusion with fixative under anesthesia</b>	<ul style="list-style-type: none"> <li>Anesthetize the animal (i.e., by inhalation of carbon dioxide gas or by injectable anesthetics)</li> <li>Open the thoracic cavity to expose the heart. This will result in an irreversible collapse of the lungs and the death of the animal.</li> <li>The animal is then perfused with fixative.</li> </ul>

<u>Potentially reversible methods</u>	
<b>Procedure name</b>	<b>Procedure</b>
<b>Carbon dioxide (compressed gas source)</b>  Animals over 10 days age	<ul style="list-style-type: none"> <li>Remove the animals from the cage, place them in an empty cage, and bring them to the euthanasia chamber. If all the animals inside of a cage must be euthanized, remove the cage from the rack and place it directly into the chamber.</li> <li>Animals should be exposed to CO<sub>2</sub> according to the operational procedure for the type of chamber used. (Minimal flow rate of 30% per minute up to a maximum of 70% per minute)</li> <li>Unweaned animals over ten days of age must be separated from adults prior to euthanasia.</li> <li>Do not mix adult males from different cages.</li> <li>Do not exceed twice the approved cage housing density.</li> <li>Confirm animal death by looking for absence of respiratory movements, heartbeats, mucos cynaocis, and milky eyes.</li> </ul>
<b>Anesthetic Overdose</b>	<ul style="list-style-type: none"> <li><b>Injectable anesthetic</b> should be given at 2-3 times the recommended anesthetic dose.</li> <li><b>Isoflurane</b> should be administered at a flow rate or concentration of 5% or greater until one minute after breathing stops.</li> <li>Confirm animal death by looking for absence of respiratory movements, heartbeats, mucos cynaocis, and milky eyes.</li> </ul>
<b>For the use of potentially reversible methods, the use of a physical method is required to ensure death</b>	

## Disposal of animals

Animal tissues must be disposed according to the safety practices below in order to minimize potential occupational hazards.

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>When procedures are conducted outside the Animal Resources Core Lab, assure the "<a href="#">Incinerate Only</a>" label is affixed to the biohazard bags containing carcasses.</li><li>Laboratory waste produced in ARCL is segregated depending on the waste type (biohazard, bio-cytotoxic, sharps, etc.). Refer to the ARCL Waste Disposal Standard Operating Procedure for additional details.</li></ul>	<a href="#">Incinerate Only</a>

### 1. Animal Resources Core Lab (ARCL):

- For disposal of animals in ARCL follow the ARCL Waste Disposal Standard Operating Procedure.

### 2. Research Labs:

- For disposal of animals in PI maintained research labs, place carcasses and tissues into a small biohazard bag.
- Biohazard bags must be stored in a container placed into the dedicated freezer.
- Carcasses collection for incineration will be coordinated with the ARCL personnel.

## Prolonged Physical Restraint

Using a manual or device-facilitated method to limit the animal's normal movement, for periods lasting longer than 5 minutes at a time, is defined by the IACUC as prolonged physical restraint. The degree of restraint needed (head only, arms and head, whole body, etc.) will guide the researcher with the restrain method and the type of equipment to consider. Less restrictive methods must always be considered.

### 1. Refinement considerations for restraint

- Use alternatives compatible with the research objectives (e.g., subcutaneous implantation of osmotic pumps in rodents or backpack-fitted instrumentation)
- Minimize the restrain period in line with the research objectives
- Animals to be placed in restraint devices should be given training (with positive reinforcement) to adapt to the equipment and personnel. Animals that fail to adapt should be removed from the study
- Observations should be frequent enough to ensure the well-being of the animals.

### 2. Food and water restrictions during restraint

If the restraint limits the ability of the animal to access food and water for more than 6 hours, procedures for ensuring nutrition and hydration should be implemented.

## Food and fluids Restrictions

“Regulation of food or fluid intake may be required for the conduct of some physiological, neuroscience, and behavioral research protocols. The regulation process may entail scheduled access to food or fluid sources, so an animal consumes as much as desired at regular intervals, or restriction, in which the total volume of food or fluid consumed is strictly monitored and controlled (NRC 2003b).” (The Guide, 2011)

<a href="#"><u>Forms &amp; Tools</u></a>
<a href="#"><u>Restriction Alert Card</u></a>

### 1. Refinement considerations for food and fluids restrictions

- Use the least duration and amount of restriction necessary to achieve the scientific objective while maintaining animal well-being.
- Consideration should be given to minimize potential adverse events.
- Access to food or fluids intake must be closely monitored and controlled to ensure the animals' nutritional needs are met.
- Food and fluids provisions to animals will be based on: species, strain, or stock, gender, and age of the animals; thermoregulatory demand; type of housing; time of feeding, nutritive value, and fiber content of the diet (Heiderstadt et al. 2000; Rowland 2007).

### 2. Observation requirement

- Daily for animal under food restriction
- Twice a day for animal under water restriction.
- Body weights should be recorded at least weekly

### 3. Caloric restriction for husbandry

Caloric restriction is accepted for rodents as part of the research and does not require IACUC approval. Benefits from caloric restriction may be the reduction on obesity, cancer rated, neurodegenerative disorder, or increase in longevity and reproduction.

### 4. Special diet use

- **Nutritionally complete diets** (i.e., Breeder diet) should be used under veterinary supervision and do not require IACUC approval.
- **Nutrient deficient diets** (i.e., Low sodium) require scientific justification and IACUC approval.

# Transportation

When transporting animals within KAUST, care must be taken to protect animals from extreme conditions, prevent animal escape, and reduce occupational exposure to animals allergens. Additional safety requirements may be needed for animals used in biological, chemical, or radiological studies.

<b><u>Safety Considerations</u></b>
<ul style="list-style-type: none"><li>• Live animals must be transported in a closable shatterproof and leak-proof container.</li><li>• Transport container must be clearly labeled. A template for the campus transportation label can be found <a href="#">here</a>.</li><li>• Please refer to <a href="#">Chapter 9 of the Biosafety Manual</a> for other provisions for transporting biological materials on campus.</li></ul>

## 1. General requirements

- Containers or cages used for transport should:
  - be clean
  - be leakproof
  - limit exposure to animal allergens
  - provide adequate ventilation
  - include the animal identification
  - prevent animal escape
  - be transported on a cart
- Animals should be protected from direct sunlight or extreme temperatures.
- Service elevators must be used where available.
- Upon arrival at the destination, animals should have access to food and water unless approved in the IACUC protocol.

## 2. Transport vehicle requirements

- Transport vehicles must be inspected and approved by IACUC prior to use.
- The heating/cooling system of the vehicle must maintain the inside temperature of the vehicle at an appropriate temperature (based on species) prior to loading the animals.
- Animals should not be left in the vehicle any longer than what is necessary to transport them to their destination.

## Accidental Animal Escape

Research animals can be moved from ARCL to the laboratories of individual PIs where short-term procedures are performed. There is a risk of animal escape in PI-maintained research labs, and personnel must be trained in procedures to mitigate the risk of the accidental animal escape.

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>• If animal euthanasia is required, refer to Safety Considerations of the <a href="#">Euthanasia Section</a>.</li><li>• Refer to <a href="#">KAUST Biosafety Manual</a> for biological spill procedures.</li></ul>	Butterfly net

### Procedure:

- Immediately notify the lab users if present around you in the lab space that there is an escaped animal.
- Capture the escaped animal with a butterfly net.
- If the animal is not captured, call KAUST Pest Control (Extension 959).
- Depending on the nature of the work, recaptured animal will either be returned to the cage or euthanized.
- The decision to euthanize the animal will be made after consulting the PI of the project and Veterinary staff.
- The area contaminated by the escaped animal must be treated as if it had a biological agent spill.
- The incident must be reported to HSE ([reportit.kaust.edu.sa](mailto:reportit.kaust.edu.sa)) and to IACUC ([iacuc@kaust.edu.sa](mailto:iacuc@kaust.edu.sa)).

## Cage Identification

Cage Identification is essential to identify ownership, procedures animals may have undergone, and to facilitate provisions of veterinary care. Cages must be identified at all times.

1. ARCL staff provides **printed cage cards**, and the research staff must ensure that information is up-to-date, and animals are identified at all time.
2. Animal should be identified with the following information:

**Cage card:**

- IACUC protocol number
- Gender
- Strain or stock
- Relevant dates e.g. date of birth

**Room posting:**

- Investigator's name and contact information by IACUC protocol number

**ARCL records:**

- Source of the animal
- Genotype information, when applicable

## Social Housing

“Single housing of social species should be the exception and justified based on experimental requirements or veterinary-related concerns about animal well-being. In these cases, it should be limited to the minimum period necessary.” (The Guide, 2011)

1. **Social animals** should be housed in pairs/groups of compatible individuals.
2. **Animals may be individually housed for the following reasons:**
  - Animals that showed aggression or fighting behavior,
  - Animals known to be prone to fight (e.g., adult male mice)
  - Breeders not currently in use according to specific strains breeding programs
  - When a companion animal is not available (e.g., the last animal remaining in an experimental cohort)
  - Animals recovering from surgery or invasive procedures during the period of recovery
  - Scientific necessity as reviewed and approved by the IACUC.
3. **Social well-being:**
  - When single housing occurs, methods to ensure social well-being (enrichment) must be implemented under the direction of the veterinary staff
  - Methods for ensuring social well-being include:
    - Additional enrichment items or addition of a companion animal in the room or housing area
    - Visual, auditory, olfactory, and tactile contact with compatible conspecifics
    - Positive interaction with the animal care staff
4. **Documentation:**
  - Cage must be labeled
  - Reason and the duration are maintained in the veterinary records.

## **Environmental Enrichment**

Animals must be housed with appropriate space, supplementary structures, and resources to meet their physical, physiologic, and behavioral needs. Inappropriate housing can compromise the animal wellbeing and the success of the research study.

### **1. Standard enrichment**

Animals must be provided with nesting material that engages rodents in complex foraging and nesting activities.

### **2. Single housing**

Animals will be provided with additional enrichment (e.g. disposable cardboard tunnels/houses).

### **3. Enrichment selection**

- Not every structure added to the animal housing will improve their wellbeing; only those that reduce the impact from external stressors are considered environmental enrichment.
- Veterinary and ARCL staff, in cooperation with the Principal Investigator, will evaluate the appropriateness of enrichment resources to ensure the positive impact on animal wellbeing and compatibility with the research goals.

# Satellite housing in PI laboratories

Satellite housing is any area other than Animal Resources Core Lab in which animals are held for over 24 hours.

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>• Authorized staff and users must meet the minimum training and competency requirements.</li><li>• Allergies to laboratory animals may develop as a result of repeated exposure to allergens derived from animal secretions and excretions.</li></ul>	<a href="#"><u>Terrestrial Husbandry Log example</u></a>

## 1. Approval of location

The IACUC must inspect and approve any satellite housing before housing the animals and conduct annual inspections of the space.

## 2. Observation requirements

When animals are present in the lab (including weekends and holidays), daily animal observations and husbandry must be performed by research staff listed in the IACUC protocol.

## 3. Facility requirements

- Access control: access to the animal area should be restricted to authorized staff and users.
- Temperature and humidity monitoring: using a high/low thermometer with a hygrometer.
- Light cycle control: diurnal light cycle (12:12, 10:14) maintained using an automatic light timer. (Inverted or alternate light cycle requires IACUC approval.)
- Sanitation: surfaces should be easily disinfected. (Porous surfaces such as unpainted/untreated wood are not appropriate. Metal surfaces should be free from rust or corrosion.)

## 4. Attire

Appropriate PPE must be worn in animal housing areas.

## 5. Documentation

Terrestrial Husbandry Log must be maintained by the PI at all times.

# Genetically Modified Animals

<b>Safety Considerations</b>
<ul style="list-style-type: none"><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Sharps Safety</a> resources for additional details.</li><li>• If administering anesthesia, refer to Safety Considerations of the <a href="#">Anesthesia Use</a> and <a href="#">Isoflurane Use</a> subchapters.</li></ul>

## 1. Breeding

Non-standard breeding schemes not included in the [Breeding and weaning section](#) must be listed in the IACUC protocol.

## 2. Adverse phenotypes

Adverse effects, distress, or pain related to the phenotype must be considered when using genetically engineered animals.

For animals with physiological deficits, supportive care and human endpoints must be described in the IACUC protocol.

## 3. Genotyping

Where possible, genotyping should be performed using the tissue sample resulting from ear punch identification.

## 4. New stains

Creating a new, genetically modified animal must be approved by the Institutional Biosafety and bioEthics Committee (IBEC).

## 5. Nomenclature

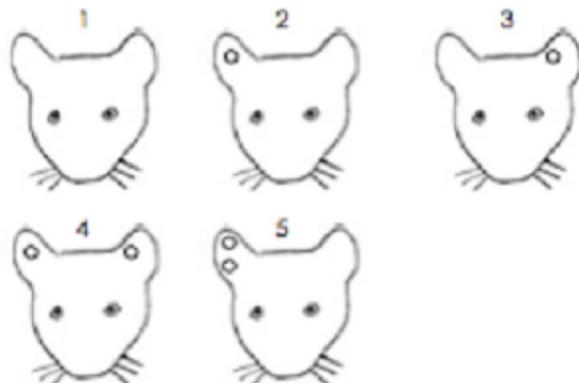
“Accurate recording, with standardized nomenclature, when available, of both the strain and sub-strain or of the genetic background of animals used in a research project is important. The International Committee on Standardized Genetic Nomenclature for Mice and the Rat Genome and Nomenclature Committee maintain online guidelines for these species.” (The Guide, 2011)

## Animal identification

Identification of individual animal may be necessary for genotyping or study purposes. While temporary identification is possible, permanent methods of identification are preferred.

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>• Avoid using sharps or use safety-engineered sharps whenever possible.</li><li>• Do not recap needles or use the “scoop method” if absolutely necessary.</li><li>• Dispose of sharps in appropriate sharps containers kept within close reach.</li><li>• Refer to <a href="#">Sharps Safety</a> resources for additional details.</li></ul>	<a href="#">Body Condition Scoring Sheet</a>

1. **Ear punch:** is the veterinarian recommended identification technique.
  - Ear Punch Scheme:



2. Colored stains with non-toxic colors
3. Ear tags

### Rectangular Ear Tag Application

Ear tags can be placed in animals that are at least 2 weeks of age. No more than one ear tag should be on a rodent. The procedure is not considered a surgery.

Please refer to this procedure if you are using similar ear tags to the ones shown in figure 1 and 2 (applicator):



Figure 1 - Rectangular ear tag

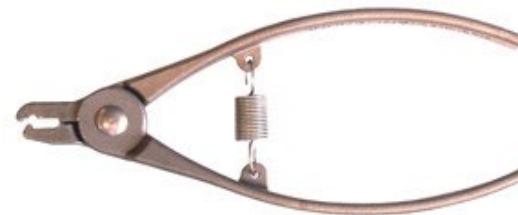


Figure 2 - Rectangular ear tag applicator

**For other models, please refer to the procedure provided by the manufacturer.**

1. Place the ear tag in the applicator.
2. Scruff the animal so that the ears are easily accessible, but movements of the head are limited.
3. In the ventral (lower) third of the pinna, identify the cartilage ring (figure 3 – see arrows).

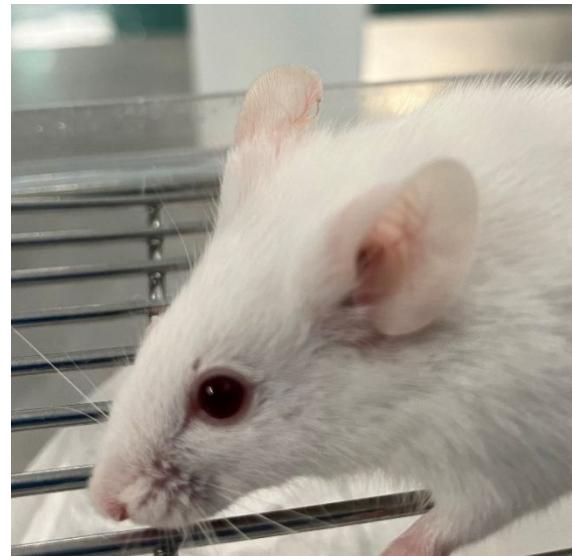


Figure 3: Rectangular ear tag placement

4. Place the ear between the pointed part and the hole of the ear tag. The ear tag should be placed next to the cartilage ring. The numbers should be facing forward.

It is important that ear tags be placed in the right location, specifically in the antihelix—the ring of cartilage at the base of the ear—rather than in the softer, peripheral areas. Incorrect placement too far above the antihelix can lead to ear rip-out, causing the need to re-identify, further distress, and potential harm to the animal.

5. Squeeze the applicator firmly. The point of the ear tag should pierce the ear and be lodged in the hole.
6. Release the animal. Monitor for signs of bleeding, inflammation or tearing.
7. Should any issue arise, immediately contact the veterinarian.

**Other identifications methods** require veterinarian consultation and may require IACUC approval.

#### **1. Tail tip genotyping**

This genotyping method can only be applied if all of the following conditions are met:

- A clear scientific justification regarding the selection of this method is provided and the IACUC has approved it.
- Personnel performing the tail biopsy collection is adequately trained on the procedure.
- Application of analgesia and/or anaesthesia has been discussed <sup>(1)(2)</sup>.

This procedure can only be applied in rodents that are aged 17 days or younger <sup>(3)</sup>:

1. If applicable, apply analgesia and/or anaesthetize the mouse.
2. Use sharp sterile scissors. When performing the procedure in more than one animal, disinfect the scissors between each animal.
3. Restrain the mouse with the non-dominant hand.
4. Maintaining the scissors perpendicularly to the long axis of the tail, cut 2-5 mm at the distal end of the tail and collect the sample in an appropriate container.
5. Apply manual compression with a sterile gauge to control bleeding.
6. Release the animal and monitor for signs of haemorrhage, distress and acute pain.
7. Do not return the animal to its cage until the bleeding has completely stopped.

This procedure can only be performed once during the animal's life cycle.

<sup>(1)</sup>Matthias N, Robinson MA, Crook R, Lockworth CR, Goodwin BS Jr. Local cryoanalgesia is effective for tail-tip biopsy in mice. *J Am Assoc Lab Anim Sci.* 2013 Mar;52(2):171-5. PMID: 23562100; PMCID: PMC3624785.

<sup>(2)</sup>Jones CP, Carver S, Kendall LV. Evaluation of common anesthetic and analgesic techniques for tail biopsy in mice. *J Am Assoc Lab Anim Sci.* 2012 Nov;51(6):808-14. PMID: 23294888; PMCID: PMC3508186.

<sup>(3)</sup>Hankenson FC, Garzel LM, Fischer DD, Nolan B, Hankenson KD. Evaluation of tail biopsy collection in laboratory mice (*Mus musculus*): vertebral ossification, DNA quantity, and acute behavioral responses. *J Am Assoc Lab Anim Sci.* 2008 Nov;47(6):10-8. PMID: 19049247; PMCID: PMC2687139.

## 2. Toe-clipping

As stated in the *Guide*, this method can only be applied when no other individual identification method is feasible. For example, this method could be used when the animals younger than 7 days are involved in procedures which require individual identification.

Toe-clipping is only acceptable when used to accomplish both identified and genotyping simultaneously.

This method can only be applied if all of the following conditions are met:

- A clear scientific justification regarding the selection of this method is provided and the IACUC has approved it.
- Personnel performing toe-clipping is adequately trained on the procedure.
- Application of analgesia and/or anaesthesia has been discussed <sup>(1)</sup>.

This procedure should be performed when the pups' toes are no longer webbed <sup>(2)</sup>.

1. If applicable, apply analgesia and/or anaesthetize the mouse.
2. Use sharp sterile scissors. When performing the procedure in more than one animal, disinfect the scissors between each animal.
3. Restrain the mouse with the non-dominant hand.
4. Maintaining the scissors perpendicularly to the long axis of the toe, only cut the distal phalanx. It is preferable to remove toes from a hind paw rather than a forepaw.
5. Apply manual compression with a sterile gauge to control bleeding.
6. Release the animal and monitor for signs of haemorrhage, distress and acute pain.
7. Do not return the animal to its cage until the bleeding has completely stopped.

It is not possible to cut more than one toe per paw. It is not possible to cut more than two toes in total.

<sup>(1)</sup>Paluch LR, Lieggi CC, Dumont M, Monette S, Riedel ER, Lipman NS. 2014. Developmental and Behavioral Effects of Toe Clipping on Neonatal and Preweanling Mice with and without Vapocoolant Anesthesia. *J Am Assoc Lab Anim Sci* 53:132-140.

<sup>(2)</sup>NIH Guidelines for Toe Clipping in Rodents: [https://oacu.oir.nih.gov/system/files/media/file/2022-03/b9\\_toe\\_clipping.pdf](https://oacu.oir.nih.gov/system/files/media/file/2022-03/b9_toe_clipping.pdf)

# Endpoint

In studies where there is a potential for animal to experience pain and distress, well defined endpoints help to minimize the negative experience of the animal. Strong scientific justification is required when animals may experience unrelieved pain and distress in order to achieve the aims of the study.

## 1. Definitions

<u>Term</u>	<u>Definition</u>
<b>Experimental Endpoints</b>	Occurs when the scientific aims and objectives of the study have been reached
<b>Death as an endpoint</b>	<p>A study that requires any animal to die without humane euthanasia in order to meet specific scientific objectives. These studies require strong scientific justification and should only be considered when no other alternatives are available.</p> <p>The following are not considered to be death as an endpoint:</p> <ul style="list-style-type: none"><li>• longevity studies in which animals are held until they are aged, clinical signs of disease are treated according to recommendations by veterinary staff, and animals are euthanized when they become moribund</li><li>• spontaneous mortality, when death is not the intended outcome</li><li>• any study in which animals are euthanized when experimental or humane endpoints are reached as described on the protocol</li></ul> <p>Study requirements:</p> <ul style="list-style-type: none"><li>• Animals should be monitored daily, or more frequently during the period of the study in which mortality is likely. Consideration will be given to moving animals to individual cages when their condition deteriorates</li><li>• Dead animals must be promptly removed</li><li>• Surrogate endpoints, which are clinical symptoms that are predictive of death, should be considered whenever possible</li></ul>
<b>Human endpoints</b>	<p>The earliest point in the study at which pain or distress can be minimized, terminated, or relieved, while still meeting the scientific aims and objectives of the study</p> <p>When the identification of humane endpoints is challenging (e.g., internal orthotopic cancers or metastatic disease), pilot experiments using small numbers of animals should be considered to predict clinical signs and to define humane endpoints</p>

## 2. Endpoints criteria

Clinical signs necessitating immediate intervention:

- Reduction in food and water intakes over a 24- to 48-h period resulting in emaciation or dehydration;
- Consistent or rapid bodyweight loss reaching > 20% compared with the pre-treatment weight of adult mice. Note: In some experiments (e.g., tumors), bodyweight is a very poor indicator - muscle atrophy or emaciation is more useful. Body condition scoring provides a very useful indication of muscle loss (See below);
- Persistent hypothermia;
- Bloodstained or mucopurulent discharge from any orifice;
- Labored respiration, particularly if accompanied by nasal discharge and/or cyanosis;
- Hind-limb paralysis or inability to move and react;
- Anemia as indicated by symptoms such as severe pale feet or markedly altered hematological parameters;
- Significant abdominal distension or where ascites burden exceeds 10% of the bodyweight of age-matched controls. Accurate determination is difficult, but body girth is useful, and a 20% increase should be the maximum normally allowed; similar to the appearance of a pregnant mouse;
- Severe and prolonged incontinence or diarrhea;
- Tumors with volume that exceed 1.5 cm<sup>3</sup> (approximately the size of the mouse's head);
- Tumors ulceration/necrosis;
- Tumors that interfere with locomotion or cause abnormal vocalization, behavior, or bodily functions.

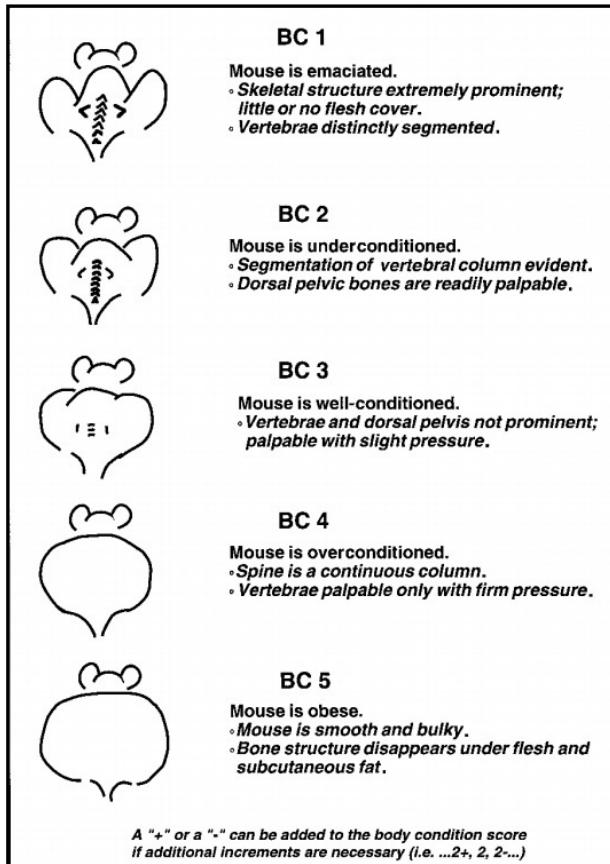
## 3. Adverse clinical conditions

Veterinarian must be informed when adverse clinical conditions occur either due to an approved experimental manipulation or "spontaneously". The veterinarian is authorized to implement treatment, including euthanasia, in order to prevent unnecessary pain and distress in animals.

- **Anticipated outcomes** are clinical conditions that may be allowed to persist for research purposes if scientifically justified in the IACUC protocol.
  - The PI and veterinarian must agree on clearly defined endpoint criteria and actions to take when criteria are reached.
  - Ultimate authority for determining when the endpoint criteria is reached, resides with the veterinarian.
- **Unanticipated outcomes** are clinical conditions not defined in the IACUC protocol.
  - The veterinary staff will determine if treatment is feasible and consult with the PI. If treatment would affect the experimental results, the animals may be euthanized.
  - The IACUC protocol must be modified to include endpoints for any newly identified unexpected outcomes.

#### 4. Body scoring condition (BC) Evaluation

- Method used to assess health and establish endpoints for adults where body weight is not a viable monitoring tool (e.g., tumor models).
- Gently hold the mouse by the base of the tail and pass a finger over the sacroiliac bones.
- Compare with the diagram to determine a score.
- Document scores for each animal.
- Scores of BC 1 or BC 2 should result in euthanasia unless justified and approved by the IACUC.



#### 5. Documentation

Adverse clinical outcomes, monitoring, and treatment must be recorded. These records must be available for inspection.

# Animal irradiation

<u>Safety Considerations</u>	<u>Forms &amp; Tools</u>
<ul style="list-style-type: none"><li>• X-Strahl RS320 Cabinet Irradiator generates ionizing radiation, which can cause injury or death if recommendations are not adhered to.</li><li>• Please follow the X-ray Irradiator manual, the R320 Cabinet Dose Look Up Tables, and “Local rules: radiation-producing equipment” as well as <a href="#">Guidelines for Working with Electrical Equipment</a>.</li><li>• Training requirements: <a href="#">X-Ray Analysis Equipment Safety training</a> and Practical Operation Training for the Irradiator. The latter is administered by ARCL.</li><li>• Upon completion of these training, users must be added to the authorized user's list. Please contact the ARCL manager.</li></ul>	<a href="#">Irradiation scoring sheet</a>

## 1. Irradiation dosage

- While dosing varies by strain and age of the mice, a typical dose for bone marrow transplantation study is 9.5 GY in a single dose or two doses of 4.5 GY four hours apart.
- If unfamiliar with the strain, the mice age, or the radiation source to be used, it is advisable to consult the literature or the veterinarian staff.

## 2. Supportive care

- The animals should then be placed in the home cage with accessible soft diet, which should be provided during the first 14 days after irradiation. As the diet becomes contaminated with fecal material, it must be replaced regularly.

## 3. Monitoring

Animals must be carefully monitored daily for the first 14 days following irradiation.

- Findings must be recorded with the help of the [Irradiation scoring table](#).
- If animals experience morbidity or mortality, the ARCL staff and/or the veterinarian must be promptly alerted.

**IRRADIATION SCORING TABLE**

<u>Clinical Sign</u>	<u>Score</u>	<u>Symptoms description</u>
<b>Body loss</b>	0 1 2	No Body loss/BCS 3 to 2 Weight loss up to 20% BW/BCS 2; signs of recovery within 2 weeks post-irradiation Weight loss up to 25% BW/BCS 2; without signs of recovery within week 3 post-irradiation or BCS 1
<b>Anemia</b>	0 1 2	Absent Mild anemia without other relevant signs – mild paleness of mucous membranes. Persistent Anemia/associated with bleeding GI tract - paleness of limbs, tail, and ear pads.
<b>Intestinal Bleeding</b>	0 1 2	Absent Mild to moderate without general signs of anemia – normal mucous membranes, no paleness. Persistent anemia associated with bleeding GI tract – paleness of limbs, tail and era pads, anal bleeding/bloody stools.
<b>Infection</b>	0 1 2	Absent Mild signs of infection without impairment of the animal capacity to move and access food and water autonomously – mild skin infection, mild ocular or nasal discharge without impairment of visual and breathing capacity. General signs of infections with impairment of the animal capacity to move and access food and water autonomously – severe skin infection, severe ocular discharge with eyelids close, nasal discharge with impairment of respiratory frequency, enteritis and dehydration, vestibular syndrome.
<b>Teeth issues</b>	0 1 2	Absent Mild to moderate incisors lesions without impairment of the animal capacity to access food and eat autonomously Severe incisors lesions with impairment of the animal capacity to access food and eat autonomously – weight loss.
<b>Graying of hair coat</b>	0 1 2	Absent/Present without signs of skin ulcers Mild skin damage – mild dermatitis/ulcers without bleeding Severe skin damage – ulcers that do not recover, evident bleeding.

**Notes:**

- If animals are not recovering by day 14 post-irradiation, considerations should be given to euthanasia. If not recovered by day 21 post-irradiation, animals must be euthanized.
- Consultation with animal care and veterinary staff is highly recommended for any scoring between 2 and 3.
- Animals scoring a value equal or higher than 4, as well as moribund animals, must be promptly euthanized.

#### **4. Therapeutic interventions**

- Recovery gel on cage floor
- Soft food on cage floor
- Antibiotic Therapy

#### **5. Humane endpoints**

Please refer to [Endpoints section](#) while evaluating animals.

- If animals are not recovering by day 14 post-irradiation, considerations should be given to euthanasia. If not recovered by day 21 post-irradiation, animals must be euthanized.
- Consultation with animal care and veterinary staff is highly recommended for any scoring between 2 and 3.
- Animals scoring a value equal or higher than 4, as well as moribund animals, must be promptly euthanized.

## Breeding and weaning

### 1. Breeding

- Trio breeding is considered the standard breeding scheme.
- Alternative schemes are permitted, provided they do not exceed the space requirements for the mice (up to 5 adults)
- If multiple pregnant females are in the same cage, they should be separated to prevent multiple litters in the same cage..

### 2. Weaning

- Litters are routinely weaned at 21 days of age, but weaning can be performed until up to 28 days. Exceptions can be made for:
  - Pups that appear too small to survive alone; after day 28, veterinary approval is required
  - Scientific reasons; IACUC approval is required
- If research staff has assumed responsibility for weaning and fails to do so by the required date, animal facility staff will wean the animals.
- If weaning results in the single housing of an animal, efforts will be made to identify a socially compatible cage-mate. If unsuccessful, the animal will be singly housed according to [Social Housing section](#) or euthanized.
- If weaning is delayed, it must be documented on the cage card.

### 3. Cage density

- Adult mice: up to 5 per cage
- Breeders with single litters.
- Trios with more than 10 pups: relocate one mother with part of the nest and half of the pups of the same age to a new cage.

## Reporting of sick/injured animals: Responsibilities & Vet assistance

1. In case of a **moribund state**, the animal will be immediately euthanized and reported as described below.
2. In case of **emergency veterinary care** is needed, including after working hours, weekends or holidays, it is the responsibility of the PI or delegated researcher to contact the ARCL staff through the emergency phone **+966 568984609**. The ARCL staff will promptly inform the veterinarians in this case.
3. To report any **sick/injured animals**, please contact the ARCL Staff or the attending veterinarians within 24h of identifying the animal sickness/injury.
4. It is the responsibility of the PI or delegated researcher to report **deviation from the humane endpoints** in the approved IACUC protocol to the IACUC ([iacuc@kaust.edu.sa](mailto:iacuc@kaust.edu.sa)).

5. **Veterinary care:**
  - The consultant veterinarian (or alternate) is available onsite at regular intervals in order to monitor the in vivo activities, to provide guidance for animal care and welfare issues, and to provide medical veterinary assistance.
  - The veterinarian (or alternate) also provides remote medical veterinary assistance.

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## APPENDIX

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*SAFETY ADVISORIES*

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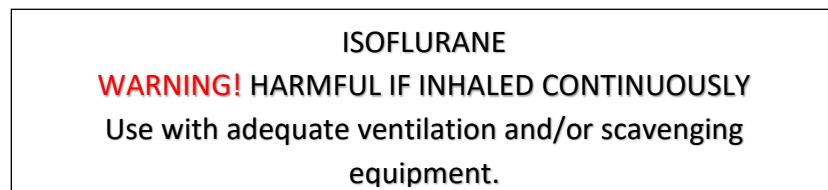
## I. Anesthesia using isoflurane system

### Potential Health Hazards

- Isoflurane is an eye and skin irritant and central nervous system toxicant. The substance can be absorbed into the body by inhalation of its vapor and by ingestion. Long-term exposure may cause chronic or adverse health effects, including nausea, dizziness, fatigue, headache, irritability, reduced mental performance, liver and kidney disease, and possible reproductive effects (sterility, infertility, miscarriages, and birth defects).
- **WARNING:** Isoflurane may be released in the BSC; thus, it is important to maintain the BSC sash in the correct position to minimize isoflurane exposure. As opening of the induction chamber is associated with peaks of isoflurane exposures (Johnstone et al. 2017), it is also particularly important to close the induction chamber soon after the mouse has been removed from the chamber to reduce isoflurane release in the BSC.
- Activities associated with an increased risk of isoflurane exposure:
  - Performing multiple animal surgeries or multiple imaging sessions, during which anesthesia is delivered for an extended length of time;
  - Not ensuring a tight seal around the animal's nose cone and mouth;
  - Failing to flush the induction chamber with oxygen prior to opening the chamber to transfer animals.
  - Filling the vaporizer.
- Signs and symptoms of isoflurane exposure can include:
  - Acute Exposure: nausea, vomiting, skin and respiratory irritation, including the nose and throat, headache, dizziness, red and painful eyes and drowsiness;
  - Chronic Exposure: hypotension, tachycardia, respiratory depression, elevated blood glucose.
  - If any of these symptoms appear, seek immediate help from KAUST Health.
- HSE can evaluate staff exposure by monitoring laboratory workers while they perform work with isoflurane. Please contact HSE Research Safety Team ([hse@kaust.edu.sa](mailto:hse@kaust.edu.sa)).
- The isoflurane (Floran) Safety Data Sheet is available [here](#).

### Signs

- Rooms where isoflurane exposure may occur should post the following sign:



## *II. Substance administration*

### Personnel safety

- Medical Emergencies
  - For injury, call 911 from a landline or 012-808-0911 from a cell phone or seek treatment by going to the KAUST Health (KH)
  - All incident must be reported to the Report It system (<http://reportit.kaust.edu.sa>)
  - Incident involving sharps will be logged through HSE incident investigation process.
- Personal Protective Equipment (PPE)  
The following PPE must be used while performing these procedures in addition to standard ARCL required PPE:
  - Eye protection in case of use and/or manipulation of hazardous chemicals.

### Hazardous substances

#### Hazardous drug safety and health guidelines

- Employees can be exposed to hazardous drugs through inhalation of drug dust or droplets, absorption through the skin directly, or injection through the skin.
- Care must be taken when performing these procedures or handling spills and sharps to reduce employee exposures to hazardous agents.
- The ARCL staff or the research staff should make available substance-specific SOP and Material Safety Data Sheet to the users.
- Personnel working with hazardous drugs are required to report accidents, possible overexposures, or unsafe conditions to the ARCL Manager and to HSE (via ReportIt website).

#### General guidelines for working with hazardous drugs include:

- Read and understand the hazards of the materials being used before work begins. Hazardous drugs should be stored in an area that is limited to authorized personnel. If possible, only amounts for daily use should be brought in the ARCL. Depending on the drug, additional PPE may be required. Therefore, personnel working with hazardous drugs must refer to the specific SOP. Gloves should be changed regularly and immediately if torn, punctured, or contaminated. Syringes and IV sets with Luer-lock fittings should be preferred.
- Compounds that are administered on an emergency basis as part of veterinary care do not require prior IACUC approval.
- Cages containing animals treated with hazardous drugs should be labeled with a [yellow cage card template](#) for hazardous substances.
- Relevant hazard information should be indicated on the yellow cage card, including warning information and date of treatment(s).
- For most hazardous drugs, it is acceptable to avoid cage change procedures for the first three days after the last administration.

**Decontamination from hazardous drugs:**

- Should consist of surface cleaning with water and detergent followed by thorough rinsing. The use of detergent is recommended because there is no single accepted method of chemical deactivation for all agents involved.
- Alcohol vapor build-up is a concern. 70% alcohol-based solution should be used only after cleaning.
- Overt contamination of gloves or gowns, or direct skin or eye contact, should be treated immediately by:
  - o Removing gloves and/or gown.
  - o Wash the affected skin area with detergent and water.
  - o If eye is affected flood with water for fifteen minutes.
  - o Seek medical attention.

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*TEMPLATES EXAMPLES*

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## I. Procedure/Surgery card examples

Procedure/Surgery Card			
Procedure Name			
Animal ID			
IACUC #		PI Name	
Performed by (initials)		Date	
Surgery	Y/N	Analgesia Provided	Y/N
Initial	Date	Comments/Therapy provided	

Pain Management				
C= Carprofen B= Buprenorphine M=Meloxicam		Other, please specify		
Date	Time	Initials	Analgesia Code	Score
1: normal mouse posture, activity, incision; 2: abnormal mouse posture, activity, incision; 3: euthanized				

NOTE: Records must be available for inspection and must contain the following information: Date, Time, IACUC protocol number, and Principal Investigator's name; Agent (s) used, dosage, route administration (for each administration).

*II. Restriction alert card example*

Restriction Alert Card	
<b>Restriction</b>	<input type="checkbox"/> Food <input type="checkbox"/> Water
<b>IACUC #</b>	
<b>PI Name and contact</b>	
<b>Animal ID</b>	
<b>Withhold Start Date</b>	
<b>Withhold End Date</b>	
<b>Specification of restriction</b>	

**NOTE:** Records must be available for inspection and must contain the following information: Date, Time, IACUC protocol number, and Principal Investigator's name; Agent (s) used, dosage, route administration (for each administration).

III. *Yellow cage card template for hazardous substances example*

<p><u>Signal Word (check one) <input type="checkbox"/> DANGER <input type="checkbox"/> WARNING</u></p> <p><u>MICE TREATED WITH (insert chemical name)</u> _____</p> <p><u>HAZARD STATEMENTS (insert statements)</u> _____</p> <p><u>DATE OF TREATMENT(s)</u> _____</p> <p><u>NOTE: For more information regarding chemical(s) used, please consult the SDS</u></p>	<p><u>GHS PICTOGRAMS (check as applicable)</u></p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> _____</p> <p><input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> _____</p> <p><input type="checkbox"/></p>
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IV. *“Incinerate Only” label for biohazardous waste example*



## *V. Terrestrial Husbandry Log Example*

Month: \_\_\_\_\_ Year: \_\_\_\_\_

## Terrestrial Husbandry Log

Building /Room \_\_\_\_\_ / \_\_\_\_\_ protocol#: \_\_\_\_\_

Date (day)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Perform daily																															
Initials (ABC)																															
ANIMALS NOT PRESENT	<input checked="" type="checkbox"/>																														
Leave rows below blank																															
Animal Health check	<input checked="" type="checkbox"/>																														
Current Temparature (°C)																															
High Temparature (°C)																															
Low Temparature (°C)																															
Humidity (% RH)																															
Food check	<input checked="" type="checkbox"/>																														
Water check	<input checked="" type="checkbox"/>																														
HVAC check	<input checked="" type="checkbox"/>																														
Perform weekly																															
Light timer function	<input checked="" type="checkbox"/>																														
Cage change	<input checked="" type="checkbox"/>																														
Sweep/Mop																															
Date																															
					</																										

## *VI. Euthanasia Form Example*

## VII. Body condition scoring sheet example

Month: \_\_\_\_\_ Year: \_\_\_\_\_

### Body Condition Scoring Sheet

Building /Room \_\_\_\_\_ / \_\_\_\_\_ protocol#: \_\_\_\_\_

Date (day)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Animal ID Number																															
Initials (ABC)																															
Body Condition Score (1-5)																															
Method use to assess health and establish endpoints for adults																															
1. Gently hold the mouse by the base of the tail and pass a finger over the sacroiliac bones 2. Compare with the diagram to determine a score 3. Document Scores for each animal 4. Scores of BC 1 or BC 2 should result in euthanasia, unless justified and approved by the IACUC																															
 <b>BC 1</b> Mouse is emaciated. - Skeletal structure extremely prominent; little or no flesh cover. - Vertebrae distinctly segmented.															 <b>BC 4</b> Mouse is overconditioned. - Spine is a continuous column. - Vertebrae palpable only with firm pressure.																
 <b>BC 2</b> Mouse is underconditioned. - Segmentation of vertebral column evident. - Dorsal pelvic bones are readily palpable.															 <b>BC 5</b> Mouse is obese. - Mouse is smooth and bulky. - Bone structure disappears under flesh and subcutaneous fat.																
 <b>BC 3</b> Mouse is well-conditioned. - Vertebrae and dorsal pelvis not prominent; palpable with slight pressure.															A "+" or a "-" can be added to the body condition score if additional increments are necessary (i.e. ...2+, 2, 2-...).																
Date	Notes																														

Template can be download on [Research Compliance website](#).

## VIII. Animal irradiation scoring sheet example

Month: \_\_\_\_\_ Year: \_\_\_\_\_  
Animal ID Number: \_\_\_\_\_

### Animal Irradiation Scoring Sheet

Building /Room \_\_\_\_\_ / \_\_\_\_\_ protocol#: \_\_\_\_\_

Date (day)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Initials (ABC)																															
Body Loss (0-2)																															
Anemia (0-2)																															
Intestinal Bleeding (0-2)																															
Infection (0-2)																															
Teeth issues (0-2)																															
Graying of hair coat (0-2)																															
<b>TOTAL</b>																															
<b>IRRADIATION SCORING TABLE</b>																															
<b>Clinical Sign</b>	<b>Score</b>	<b>Symptoms description</b>																													
<b>Body loss</b>	0	No Body loss/BCS 3 to 2																													
	1	Weight loss up to 20% BW/BCS 2; signs of recovery within 2 weeks post-irradiation																													
	2	Weight loss up to 25% BW/BCS 2; without signs of recovery within week 3 post-irradiation or BCS 1																													
<b>Anemia</b>	0	Absent																													
	1	Mild anemia without other relevant signs – mild paleness of mucous membranes.																													
	2	Persistent Anemia/associated with bleeding GI tract - paleness of limbs, tail, and ear pads.																													
<b>Intestinal Bleeding</b>	0	Absent																													
	1	Mild to moderate without general signs of anemia – normal mucous membranes, no paleness.																													
	2	Persistent anemia associated with bleeding GI tract – paleness of limbs, tail and ear pads, anal bleeding/bloody stools.																													
<b>Infection</b>	0	Absent																													
	1	Mild signs of infection without impairment of the animal capacity to move and access food and water autonomously – mild skin infection, mild ocular or nasal discharge without impairment of visual and breathing capacity.																													
	2	General signs of infections with impairment of the animal capacity to move and access food and water autonomously – severe skin infection, severe ocular discharge with eyelids close, nasal discharge with impairment of respiratory frequency, enteritis and dehydration, vestibular syndrome.																													
<b>Teeth issues</b>	0	Absent																													
	1	Mild to moderate incisors lesions without impairment of the animal capacity to access food and eat autonomously																													
	2	Severe incisors lesions with impairment of the animal capacity to access food and eat autonomously – weight loss.																													
<b>Graying of hair coat</b>	0	Absent/Present without signs of skin ulcers																													
	1	Mild skin damage – mild dermatitis/ulcers without bleeding																													
	2	Severe skin damage – ulcers that do not recover, evident bleeding.																													
<b>Notes</b>																															
<ul style="list-style-type: none"> <li>- If animals are not recovering by day 14 post irradiation, considerations should be given to euthanasia. If not recovered by day 21 post-irradiation, animals must be euthanized.</li> <li>- Consultation with animal care and veterinary staff is highly recommended for any scoring between 2 and 3.</li> <li>- Animals scoring a value equal or higher than 4 as well as moribund animals must be promptly euthanized.</li> </ul>																															
<b>Date</b> <b>Notes</b>																															

Template can be download on [Research Compliance website](#).

## IX. General scoring sheet example

Month: \_\_\_\_\_ Year: \_\_\_\_\_

### General Scoring Sheet

Building /Room \_\_\_\_\_ / \_\_\_\_\_ protocol#: \_\_\_\_\_

Date (day)	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	
Animal ID Number																																
Initials (ABC)																																
Condition 1 (specifications)																																
Condition 2 (specifications)																																
Condition 3 (specifications)																																
Condition 4 (specifications)																																
<ul style="list-style-type: none"> <li>- Condition 1 parameter/Scoring criteria 1 and specifications description</li> <li>- Condition 2 parameter/Scoring criteria 2 and specifications description</li> <li>- Condition 3 parameter/Scoring criteria 3 and specifications description</li> <li>- Condition 4 parameter/Scoring criteria 4 and specifications description</li> </ul>																																
Date	Notes																															

Template can be download on [Research Compliance website](#).

## References

Guide for the care and use of laboratory animals, Current version.

Guidelines for the Care and Use of Mammals. Neuroscience Research Council, Current version.

NIH Guidelines for Survival Bleeding of Mice and Rats, Current version.

AVMA Guidelines for the euthanasia of animals, Current version.